

# Investigation and modeling of lactic acid fermentation on wheat starch via SSF, CHF and SHF technology

Kata Hetényi / Áron Németh / Béla Sevela

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## Abstract

Starch based lactic acid fermentation technology was examined and optimized using a non-amylolytic, mesophilic lactic acid bacterium. Comparing simultaneous saccharification and fermentation (SSF) and separate hydrolysis and fermentation (SHF) technologies several issues arose and applying the two techniques needed several compromises concerning the overall process time. A combined hydrolysis and fermentation method was developed which incorporate the advantages of SSF and SHF technologies: applying a time delay in inoculation and cutting down hydrolysis time before fermentation, an optimal inoculation and high efficiency was achieved by kinetic model aided experimental work. Experimental verification of the model gave an excellent productivity result:  $4.32 \text{ g L}^{-1} \text{ h}^{-1}$  calculated with only the fermentation time, and  $2.88 \text{ g L}^{-1} \text{ h}^{-1}$  calculated with the overall time of the two processes. With this method, hydrolysis and fermentation time was successfully reduced, enhancing lactic acid productivity and depressing production cost of this low-value chemical.

## Keywords

Kinetic model; Lactic acid; Separate hydrolysis and fermentation; Simultaneous saccharification and fermentation; Starch hydrolysis.

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Kata Hetényi

Áron Németh

Department of Applied Biotechnology and Food Science, BME, H-1111 Budapest Műegyetem rkp 3, Hungary

Béla Sevela

Department of Applied Biotechnology and Food Science, BME, H-1111 Budapest Műegyetem rkp 3, Hungary  
e-mail: bsevela@mail.bme.hu

## Abbreviations

$S$	Starch concentration ( $\text{g L}^{-1}$ )
$MD$	Maltodextrin concentration ( $\text{g L}^{-1}$ )
$G$	Glucose concentration ( $\text{g L}^{-1}$ )
$X$	Biomass concentration ( $\text{g L}^{-1}$ )
$LA$	Lactic acid concentration ( $\text{g L}^{-1}$ )
$N$	nitrogen source concentration ( $\text{g L}^{-1}$ )
$T$	Temperature (K)
$R$	Universal gas constant
$t$	time
$E_z$	activation energy of $z$ parameter according to Arrhenius ( $\text{J mol}^{-1}$ )
$A_z$	preexponential constant of $z$ parameter according to Arrhenius (depends on dimension of $z$ )
$r_n n^{st}$	reaction rate ( $\text{g L}^{-1} \text{ h}^{-1}$ )
$k_{cat}$	turnover number of $\alpha$ -amylase depending on temperature ( $\text{h}^{-1}$ )
$K_m$	Michaelis constant of $\alpha$ -amylase depending on temperature ( $\text{g L}^{-1}$ )
$K_N$	Halfsaturation constant of nitrogen source ( $\text{g L}^{-1}$ )
$k_1$	growth associated constant of product formation according to Luedeking Piret
$k_2$	non-growth associated constant of product formation according to Luedeking Piret ( $\text{h}^{-1}$ )
$Y$	biomass yield on glucose ( $\text{g g}^{-1}$ )
$Y_N$	biomass yield on nitrogen source ( $\text{g g}^{-1}$ )
$Y_P$	product (LA) yield on glucose ( $\text{g g}^{-1}$ )

## 1 Introduction

Lactic acid is a low-value, higher-volume bulk chemical and it could be used as feedstock for biodegradable polymers (PLA), environmentally friendly solvents (ethyl-lactate, butyl-lactate etc.), and chemical intermediates. For the production of L-(+)-lactic acid microbial synthesis of the pure isomer is preferred, because the chemical route results in a racemic mixture whose separation is difficult. The use of excess biomass or agricultural and industrial wastes to produce lactic acid via fermentation is not a novelty, however in Hungary a proposal has recently been advanced to use surplus grain capacity (corn, wheat,

sweet sorghum) in white-industrial technologies [7]. In these technologies it is important to use every fraction of the grain, so that with a well-chosen technology it can satisfy every demands of producing bacteria without any or with minimal amount of supplementation.

Wheat is an appropriate raw material for bacterial lactic acid fermentation because its starch content can cover carbon source need of lactic acid bacteria, while protein content serves as nitrogen source for their growth. According to the literature [15] and to our previous experiments (Hetényi et al. 2008, personal communication) in case of *Lactobacilli* other needs may come up (vitamins, salts, amino acids etc.) as well, which can be satisfied by combining a minimal yeast extract supplementation with another nitrogen containing media component, in case of wheat the insoluble protein fraction of the corn (gluten) [8].

Using starch as carbon source can be handled in different ways: some lactic acid producing strains can convert starch to lactic acid without previous hydrolysis (direct fermentation) [23]; in some cases an enzymatic starch liquefaction step precedes fermentation to enhance the hydrolysis and then the strain's own gluco-amylase enzyme converts dextrins to glucose for lactic acid fermentation (*Lactobacillus amylophilus* [1, 2, 14], *Lactobacillus amylovorus* [4], *Lactobacillus manihottivorans* [13], *Rhizopus oryzae* [5, 6]; most lactic acid bacteria (most of lactobacilli, lactococci etc.) need complete hydrolysis to glucose which can be performed by separate hydrolysis and fermentation (SHF) [25] or in line with fermentation (simultaneous saccharification and fermentation – SSF) [9, 20, 22]; the simultaneous saccharification and fermentation could be solved by co-fermentation as well by joint use of an amylolytic fungus and a lactic acid bacterium [24].

Microbial conversion of starch to lactic acid can be made much more economical by coupling the enzymatic hydrolysis of starchy substrates and microbial fermentation of the derived glucose in one single step. A direct benefit of SSF process is a decrease in the substrate inhibition caused by glucose and a consequent reduction in reactor volume and capital costs besides time consumption [3].

Normally lactic acid bacteria are mesophiles while normal hydrolysis of starch is done at elevated temperature, accordingly the temperature optimum of the microorganism and that of the hydrolyzing enzymes do not overlap. This problem have been solved by some successful experiments on simultaneous enzymatic saccharification and fermentation [23]. One method is using thermophilic bacteria or fungi, which is advantageous partly because the temperature optima of the strain and of the enzyme border on each other, partly it can eliminate inhibition caused by high sugar concentration, and elevated temperature even reduces infection problems at an industrial scale [3, 10].

In case of a lignocellulosic hydrolysis and lactic acid fermentation Parajo et al. [17] applied different hydrolysis duration at the optimal temperature of the enzymes, then inoculated the hydrolysate and examined SSF process at temperature optimum

of a mesophilic lactic acid producing bacterium (combined hydrolysis and fermentation – CHF). Linko and Javanainen [12] examined commercial starch hydrolyzing enzymes in SSF processes at different temperatures, including the optimum temperature (37 °C) of a mesophilic lactobacillus and compared the results to one at a more elevated temperature. They concluded that the fermentation efficiency ameliorated.

Targets of our experiments are to produce lactic acid on a previously optimized [7, 8] wheat flour based medium, comparing SHF and SSF technology experimentally and by modeling, to reduce hydrolysis and fermentation time, hereby enhancing lactic acid productivity and depressing production cost of this low-value chemical.

## 2 Materials and methods

### 2.1 Microorganism

*Lactobacillus* sp. MKT-878 NCAIM B02375, a facultative anaerobic homofermentative L-lactic acid producer was obtained from an earlier strain selection program in our research group. The strain was stored on MRS medium agar slants (Difco, USA) at 4 °C.

### 2.2 Culture conditions

Precultures for laboratory fermentation experiments were prepared by transferring a stock culture onto two slants of MRS agar and incubating at 37 °C for 24 h. Cells were harvested in sterile water and the cell suspension was transferred by a sterile syringe into the bioreactor.

Four 1 liter (B. Braun Biostat® Q 800/1000 mL) fermentors were employed for fermentations. Agitation speed and culture temperature were controlled at 500 rpm and 37 °C respectively, the pH was regulated at 5.5 by 25 % H<sub>2</sub>SO<sub>4</sub> and 20 % NH<sub>4</sub>OH for liquefaction and saccharification steps, but during fermentation lactic acid production was buffered by CaCO<sub>3</sub> (added in stoichiometrical amount) between pH 6.5-4.5.

The fermentors, their accessories and CaCO<sub>3</sub> were sterilized in autoclave at 121 °C for 20 min. The wheat hydrolysate did not need sterilization because of the high hydrolysis temperature used.

### 2.3 Media and hydrolysis

#### 2.3.1 Raw materials

The base of media was wheat flour type 550 (all-purpose commercial flour, with 0,55% ash content) with a protein content of 11% dry weight and a wet gluten content of 27%.

The starch content (65% dry weight) of wheat flour was degradable in two enzymatic steps, by liquefaction of starch and by saccharification of oligosaccharides.

Medium preparation: after adding 166 mL tap water to 200 g wheat flour, 1 hour kneading and addition of 208 mL tap water with 16.4 μL Shearzyme® 500L enzyme (Novo Nordisk, Denmark) was carried out for the protein agglomeration process. Gluten fraction was separated by centrifugation (30 min,

3000 rpm, Janetzky K70 D centrifuge), followed by washing with water, and diluting the starch suspension up to 1000 mL. After preparing gluten-free medium starch, 16 g L<sup>-1</sup> vital gluten (Victory 2001 Ltd., Hungary) and 6 g L<sup>-1</sup> yeast extract (Reanal Budapest, Hungary) were added which is the optimized amount of supplementation for this strain [8]. Then the liquefaction and saccharification process was carried out.

### 2.3.2 Hydrolysis

For hydrolysis steps we followed instructions of Novo Nordisk product sheets.

In 1L of wheat flour based medium the liquefaction of starch was carried out for 40 min by 56  $\mu$ L Termamyl® SC ( $\alpha$ -amylase by Novo Nordisk), at 85 °C and pH 5.5. For the saccharification of oligosaccharides and proteolytic digestion of gluten 160  $\mu$ L SAN® Super 240 L (glucoamylase and protease by Novo Nordisk), 55 °C and pH 5.5 was used, with different pre-hydrolysis (i.e. inoculation) time: 0 (SSF), 12, 24, 36 (SHF) hours, and finally with an optimized duration.

Hydrolysis were performed in 1 liter B. Braun Biostat® Q fermentors (500 rpm, 500 mL).

### 2.4 Analyses

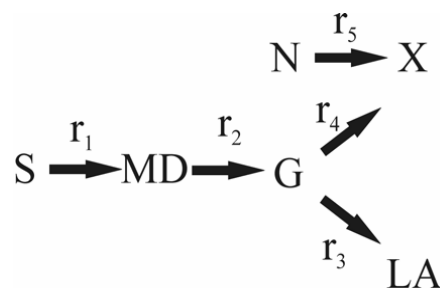
Substrates and products were analyzed by HPLC (Waters Breeze HPLC System, BioRad Aminex HPX-87H column on 65 °C, mobile phase: 5 mM H<sub>2</sub>SO<sub>4</sub> at flow rate of 0.5 mL min<sup>-1</sup>).

To measure optical density we had to use 0.2 N HCl for ten-fold dilution of samples to dissolve the residual CaCO<sub>3</sub> but it resulted in precipitation of wheat proteins which disturbed the optical density measurements. Accordingly we did not measure the optical density.

### 2.5 Setting up a kinetic model

A lactic acid fermentation model following Monod growth kinetics and Luedeking-Piret production kinetics was already verified (on the basis of batch experiments on a glucose based medium) and reported elsewhere [16]. This model was modified, re-verified and further supplemented with a temperature dependent starch hydrolysis description (Table 2). The temperature dependency of the liquefaction step was adapted from the approach of Rodriguez et al. [7], but in term of saccharification the literature is very confused, giving constants referring to starch or maltodextrins (including maltose, maltotriose, trehalose etc. according to the enzyme substrate spectrum) with or without temperature dependency [11, 18]. For this reason we followed the glucose formation during saccharification experiments at different temperatures (37-42-47-55 °C), fitted an exponential curve ( $G = a \cdot (1 - \exp^{-b \cdot t})$ ) by Sigma Plot 2001 software, and with linearization determined the Arrhenius temperature dependency of the constants (a and b) in the fitted exponential function (listed in Table 1). For modeling purposes we

assumed that starch is first converted by  $\alpha$ -amylases into “general maltodextrins”, and then maltodextrins will be converted by gluco-amylases into glucose, which is in small part converted into biomass but mostly into lactic acid (Fig. 1). For biomass production nitrogenous substances (gluten, yeast extract), vitamins (principally from yeast extract), energy, thereby sugars are needed, and the main amount of biomass is arisen from the organic nitrogen source. The rate of saccharification was taken as the first derivative of the fitted exponential function in respect of time. The applied parameters and initial conditions are also listed in Table 1.



**Fig. 1.** Simplified scheme for kinetic of starch hydrolysis and lactic acid fermentation

## 3 Results and discussion

### 3.1 Separate hydrolysis and fermentation (SHF)

The simplest way to use starch as carbon source for lactic acid fermentation is the total hydrolysis to glucose at the optimum environment of the enzymes then inoculation of this medium with the mesophilic strain at its optimal temperature and pH. The advantage of this process is that both the hydrolysis and fermentation run at maximal speed, but the total productivity (calculated with the sum of the time of hydrolysis and fermentation) is lower.

The medium contained 125 gL<sup>-1</sup> glucose after total hydrolysis (liquefaction and 36 hours of saccharification), and the fermentation gave as good results as in our previous experiments during wheat based medium optimization process [8]: the strain converted glucose into lactic acid with 94% yield (calculated from the amount of the produced lactic acid and consumed glucose) and 3.57 g L<sup>-1</sup>h<sup>-1</sup> productivity results (Fig. 2, Table 2). However the productivity considering also the time of hydrolysis was less satisfactory. To reduce the overall time of the process and for higher productivity result we turned to SSF technology.

### 3.2 Simultaneous saccharification and fermentation (SSF)

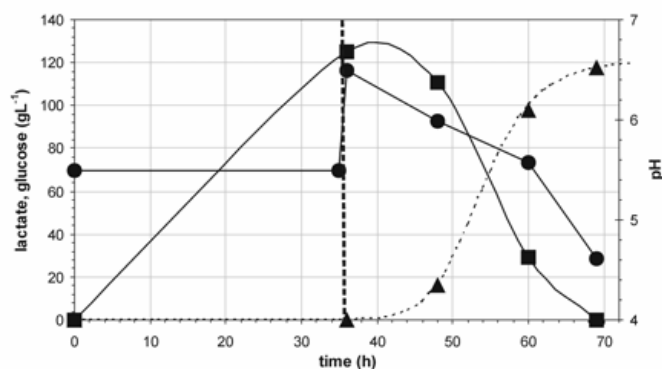
During simultaneous saccharification and fermentation microbial conversion of glucose to lactic acid is in line with saccharification (after a liquefaction step at high temperature), so the problem of a potential substrate inhibition is solved, but the enhancing effect of the high initial substrate concentration on the conversion is eliminated. The temperature and the pH of the

**Tab. 1.** Model equations, parameters and initial conditions

	Balance equations	Rate expressions	Parameters	Initial conditions (at t=0)
Hydrolysis	$\frac{dS}{dt} = -r_1$ $\frac{dMD}{dt} = r_1 - r_2$	$r_1 = k_{cat} \cdot E_{amylase} \cdot \frac{S}{K_m + S}$ $k_{cat} = A_k \cdot e^{\frac{E_k}{R \cdot T}}$ $K_m = A_{Km} \cdot e^{\frac{E_{Km}}{R \cdot T}}$ $r_2 = a \cdot b \cdot e^{-b \cdot t}$ $a = A_a \cdot e^{\frac{E_a}{R \cdot T}}$ $b = A_b \cdot e^{\frac{E_b}{R \cdot T}}$	$A_k = 1.74 \cdot 10^8 (h^{-1})$ $E_k = -4.17 \cdot 10^4 (Jmol^{-1})$ $A_{Km} = 7.35 \cdot 10^2 (gL^{-1})$ $E_{Km} = -2.44 \cdot 10^4 (Jmol^{-1})$ $A_a = 7.55 \cdot 10^3 (gL^{-1})$ $E_a = -1.07 \cdot 10^4 (Jmol^{-1})$ $A_b = 3.73 \cdot 10^0 (h^{-1})$ $E_b = -9.03 \cdot 10^3 (Jmol^{-1})$	$S_0 = 140 g L^{-1}$ $MD_0 = 0 g L^{-1}$ $E_{amylase} = 4.2 \cdot 10^{-3} gL^{-1}$
	$\frac{dG}{dt} = r_2 - \frac{r_3}{Y_P} - \frac{r_4}{Y}$ $\frac{dLA}{dt} = r_3$ $\frac{dX}{dt} = r_4$ $\frac{dN}{dt} = \frac{r_5}{Y_N}$	$r_3 = k_1 \cdot \mu \cdot x \text{ OR } r_3 = k_2 \cdot x \cdot \ln(S)$ $(\mu \cong \mu_{max}) \quad (\mu < \mu_{max})$ $r_4 = \mu \cdot x$ $\mu = \mu_{max} \cdot \frac{N}{K_N + N}$ $r_5 = -r_4$	$\mu_{max} = 0.136 h^{-1}$ (at pH = 5.8) $K_N = 0.166 g L^{-1}$ $k_1 = 4.76$ $k_2 = 0.119 h^{-1}$ $Y = 0.37$ $Y_N = 1.5$ $Y_P = 0.99$	$G_0 = 0 g L^{-1}$ $x_0 = 0.67 g L^{-1}$ $LA_0 = 3.3 g L^{-1}$ $N_0 = 6 g L^{-1}$

**Tab. 2.** Productivity results of different processes

Process	Hydrolysis time (h)	Fermentation time (h)	Total time (h)	Lactic acid (g L <sup>-1</sup> )	Productivity (g L <sup>-1</sup> h <sup>-1</sup> ) cal- culated with fermentation time	Productivity (g L <sup>-1</sup> h <sup>-1</sup> ) cal- culated with total time
SSF	0	56	56	97	1.74	1.74
CHF 12 hours	12	44	56	105	2.38	1.87
CHF 24 hours	24	38	62	104	2.73	1.67
SHF	36	33	69	118	3.57	1.71
CHF 14 hours -optimized	14	28	42	121	4.32	2.88

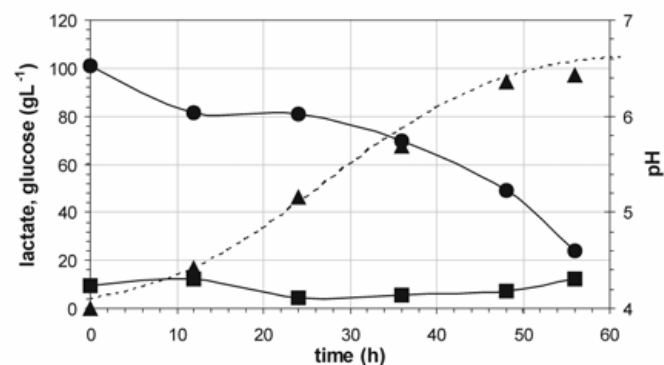


**Fig. 2.** Separate hydrolysis and fermentation (SHF) on wheat flour based medium, with 36 h of pre-hydrolysis: (—■—) glucose; (---▲---) lactic acid; (—●—) pH.

parallel processes can be set either to the optimum of the saccharification enzyme or to those of the microbe. In our case we chose the pH and temperature optimum of the strain (37°C, pH 5.8) because it is moderately tolerant to the temperature deviation and its pH optimum is close to that of the enzyme.

The duration of saccharification and fermentation (Fig. 3) was 56 hours and the amount of residual glucose was 12 g L<sup>-1</sup>. Although starch concentration was not determined, according

to our SHF experiments and previous attempts [8] after a total starch hydrolysis, the final glucose concentration was usually 120 g L<sup>-1</sup>. Accordingly the final 97 g L<sup>-1</sup> lactic acid concentration suggests a yield 89% of that theoretically possible (assuming total starch hydrolysis). The productivity (considering only fermentation time) was 1.74 g L<sup>-1</sup> h<sup>-1</sup> (Table 2), the half of the results previously described with this medium (3.54 g L<sup>-1</sup> h<sup>-1</sup>), because of the very low substrate concentration during the whole process.

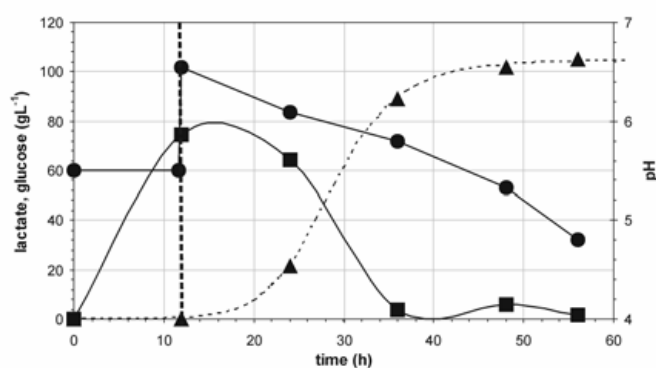


**Fig. 3.** Simultaneous saccharification and fermentation (SSF) on wheat flour based medium, with 0 h of pre-hydrolysis: (—) glucose; (—) lactic acid; (—■—) glucose; (---▲---) lactic acid; (—●—) pH.

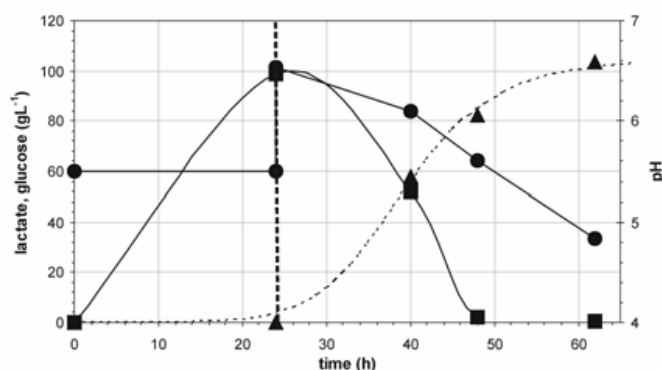
### 3.3 Combined hydrolysis and fermentation (CHF)

According to our previous experiments [8], to reach the maximal starch hydrolysis rate we applied 36 hours of saccharification on the enzyme optimum. In case of combined hydrolysis and fermentation we tried to find an appropriate inoculation time point where the initial glucose concentration is high enough to enhance lactic acid conversion, but the length of hydrolysis does not increase significantly the overall process time (comprising both hydrolysis and fermentation time). During hydrolysis, pH and temperature were set to the optimum for the saccharifying enzyme (55 °C, pH 5.5) thus speed of saccharification was maximal, then we shifted them on the optimum of the strain (37 °C, ~pH 6.5 by  $\text{CaCO}_3$ ) to ensure maximal lactic acid conversion rate.

The two tested inoculation time points were 12 (Fig. 4) and 24 hours (Fig. 5).



**Fig. 4.** Combined hydrolysis and fermentation (CHF) on wheat flour based medium, with 12 h of pre-hydrolysis: (—■—) glucose; (—▲—) lactic acid; (—●—) pH.



**Fig. 5.** Combined hydrolysis and fermentation (CHF) on wheat flour based medium, with 24 h of pre-hydrolysis: (—■—) glucose; (—▲—) lactic acid; (—●—) pH.

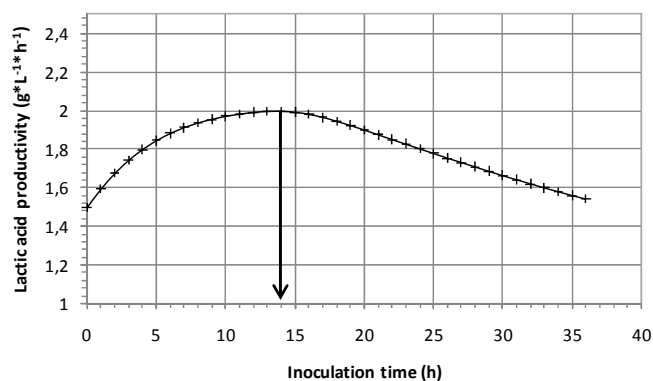
After 12 hours of separate hydrolysis the fermentation lasted as long as 44 hours so the total time was the same as in case of SSF process (56 hours), but with a better final lactic acid concentration (105 g L<sup>-1</sup>) resulting in a better productivity result (Table 2). Since at the time point of inoculation the glucose concentration was 74 g L<sup>-1</sup>, during fermentation ~50 g L<sup>-1</sup> additional glucose was produced in line with lactic acid fermentation.

According to our expectations, in case of CHF with 24 hours of separate hydrolysis the fermentation time decreased (38 hours), since the glucose concentration was 99 g L<sup>-1</sup> at the inoculation, and final lactic acid concentration was almost the same as in case of CHF with 12 hours of hydrolysis (Table 2). Since total time of process was elevated because of the longer hydrolysis time, the total productivity (calculated with total process time) was lower.

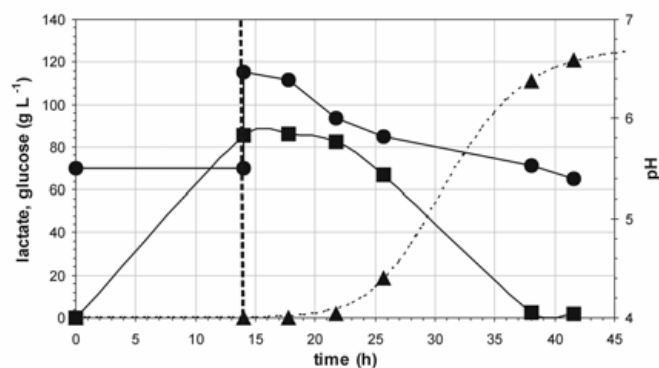
### 3.4 Modeling of SSF, CHF and SHF technologies

Considering the experimental results with 0, 12, 24 and 36 h of inoculation time it can be supposed that there is an optimal inoculation time point. To determine it, we built up an empirical model description of the starch to glucose hydrolysis and integrated it into our lactic acid fermentation kinetic model [16]. Simulating the inoculation at different time points resulted in the productivity curve presented at Fig. 6. According to this, an optimal inoculation time of 14 h can be derived.

To verify the modeled hypothesis we performed an experiment with this 14 hour inoculation point after the start of the hydrolysis. As Fig. 7 and Table 2 show, this resulted in high yield and productivity.



**Fig. 6.** Effect of inoculation time point on lactic acid volumetric productivity, from simulations based on the integrated hydrolysis and fermentation kinetic model.



**Fig. 7.** Verification of the model: combined hydrolysis and fermentation (CHF) on wheat flour based medium, with 14 h of pre-hydrolysis: (—■—) glucose; (—▲—) lactic acid; (—●—) pH.

## 4 Conclusions

It has been the target of researchers for a long time to develop successful simultaneous saccharification and fermentation (SSF) for lactic acid (and other fermentation) production processes, but usually some compromises have to be made because of the different optima in pH and temperature of the two processes. In practice separate hydrolysis and fermentation (SHF) is widely used in starch based lactic acid fermentation technologies, but the overall length of the process makes this technology uneconomic. Applying a time delay in inoculation and cutting down hydrolysis time before fermentation, an optimal inoculation and high efficiency was achieved by experimental work feeding in to a kinetic model. Testing experimentally different settings of separate pre-hydrolysis time (0, 12, 24 and 36 h), combined hydrolysis and fermentation (CHF) technique proved to be the best solution, considering the productivity calculated with the sum of the time of the two processes. The model built from empirical model of starch hydrolysis integrated into a lactic acid fermentation kinetic model gave 14 h as an optimal inoculation time point. Experimental verification of this model gave excellent productivity results: calculating with only the fermentation time productivity was  $4.32 \text{ g L}^{-1}\text{h}^{-1}$ , while previous experiments with SHF technology gave only  $3.54 \text{ g L}^{-1}\text{h}^{-1}$  [8] on the same optimized wheat based medium. Productivity considering the overall time (time of hydrolysis and fermentation) also approaches the limit of  $3 \text{ g L}^{-1}\text{h}^{-1}$ , consequently technological optimization was successful.

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